

ORDER ROUTING DISCLOSURE

All broker dealers are required by the Securities and Exchange Commission to provide disclosure to customers concerning order routing practices and payment for order flow received from market centers and regional exchanges to which the broker-dealer routes orders for execution. Silver Oak Securities, Inc. (SOSI) is providing you the following details to assist you in better understanding the process.

SEC Rule 605

The SEC's customer disclosure rule, SEC Rule 605, requires that SOSI publicly disclose monthly data about the quality of its trade executions. Each monthly report will disclose execution quality data based on the previous month's trading activity.

To access StoneXFinancial Inc., (SFI's) trade execution reports for orders executed prior to August 1, 2019, visit <https://vrs.vista-one-solutions.com/sec605rule>.

To access reports for orders executed on, and after, August 1, 2019, visit <https://public.s3.com/rule605/intl/>.

SEC Rule 606

SEC Rule 606 requires all broker-dealers that route customer orders in equity and option securities to make publicly available quarterly reports that, among other things, identify the following:

- The percentage of total customer orders that were non-directed orders, and the percentages of total non-directed orders that were market orders, limit orders, or other orders
- The identity of the venues to which a significant percentage of total non-directed orders were routed for execution
- The percentage of total non-directed orders routed to the venue, and the percentages of total non-directed market orders, non-directed limit orders, and non-directed other orders that were routed to the venue
- Terms of the material aspects of the broker-dealer's relationship with each venue identified above, including a description of any arrangement for payment for order flow and any profit-sharing relationship.

To access StoneXFinancial Inc., (SFI's) order routing reports for orders executed prior to August 1, 2019, visit <https://vrs.vista-one-solutions.com/sec606rule.aspx>.

For reports about orders executed on, and after, August 1, 2019 and later visit <https://public.s3.com/rule606/intl/>.